

ข้อมูลผลการดำเนินงานด้านการกำกับดูแลกิจการที่ดี การบริหารความเสี่ยง และการกำกับ การปฏิบัติตามกฎเกณฑ์

GRI Standards	Required Data	Unit	Data Collection Period			
			2018	2019	2020	2021
GRI: 405, 102 Board						
405-1	Total board size	Person	14	15	15	15
	Executive director	Person	1	1	1	1
	Independent director	Person	7	8	10	10
	Independent director (DJSI Definition) ⁽¹⁾	Person	N/A	13	13	14
	Other Non-executive director	Person	6	1	14	14
102-22	Number of female directors	Person	2	2	2	2
102-18 102-23	Number of years Chairman is non-executive and independent	Years	6	7	8	1
102-28	Average board meeting attendance	%	93	92	95	97%
	Minimum attendance	%	85	85	85	85
	Number of non-executive / independent directors with 4 or less other mandates	Person	13	14	14	14
	Number of other mandates for non-executive / independent director is restricted to	Person	2	2	2	2
102-22	Average tenure of board member	Years	3	4	4	3
-	Number of independent or non-executive members with industry experience (Management, Consulting, Academic, Research)	Person	12	14	14	14
- Share						
-	Shares with No vote (e.g. NVDR)	Amount of shares	268,554,894	275,585,608	244,632,884	292,036,543 ⁽²⁾
	Share with Voting right per 1 share	Amount of shares	3,701,430,506	3,694,399,792	3,725,352,516	3,677,948,857 ⁽²⁾
- Shareholder						
-	Family ownership	% shares held by family members	N/A	N/A	N/A	N/A
	Government ownership	%	65.29	33.36	33.37	N/A
GRI: 205 Code of Conduct						
205-2	COC Coverage of Application for Employees	%	100	100	100	100
	COC Coverage of Application for Contractor/suppliers/service providers	%	100	100	100	100
	COC Coverage of Application for Subsidiaries	%	100	100	100	100
	COC Coverage of Application for Joint Ventures	%	100	100	100	100
	Written/digital acknowledgement for Employees	%	100	100	100	100
	Written/digital acknowledgement for Contractor/suppliers/service providers	%	100	100	100	100
	Written/digital acknowledgement for Subsidiaries	%	100	100	100	100
	Written/digital acknowledgement for Joint Ventures	%	86	100	100	100
	Training provided to Employees	%	100	100	99	100
	Training provided to Contractor/suppliers/service providers	%	100	84	93	94

	Training provided to Subsidiaries	%	94	100	100	100
	Training provided to Joint Ventures	%	100	100	100	100
GRI: 205 Code of Conduct Cases						
205-3	Past: Total number of substantiated corruption & bribery cases	Case	0	0	0	0
	On-going: Total number of substantiated corruption & bribery cases	Case	0	0	0	0
	Past: Total amount of incurred fines or settlements related to anti-competitive business practice	USD	0	0	0	0
	Past: Total amount of incurred fines or settlements related to anti-competitive business practice	% of revenue	0	0	0	0
	On-going: Total amount of incurred fines or settlements related to anti-competitive business practice	USD	0	0	0	0
	Number of whistleblowing cases	Case	10	7	10	20
	Whistle-blower - External reporter	Case	2	2	1	8
	Whistle-blower - Internal reporter	Case	7	2	4	4
	Whistle-blower - Anonymous	Case	1	3	5	8
	Number of accepted cases after considering evidence and witnesses	Case	6	3	5	10
	Number of wrongdoing cases ⁽³⁾	Case	4	1	2	3
	Health and safety	Case	0	0	0	0
	Business integrity	Case				
	- Non-compliance with regulation	Case	0	0	0	0
	- Conflict of Interest	Case	1	0	1	0
	- Corruption, Fraud, Bribery	Case	2	0	0	0
	- Use of asset and information	Case	1	0	0	1
	- Others	Case	0	0	0	0
	Human Resources	Case				
	- Inappropriate manner	Case	0	1	1	0
	- Sexual harassment	Case	0	0	0	2
	- Non-sexual harassment	Case	0	0	0	0
	- others	Case	0	0	0	0
	Others	Case	0	0	0	0
	Consequences of wrongdoing	Case	4	1	2	3
	Verbal warning	Case	1	0	1	0
	Written warning	Case	1	0	0	3
Suspension	Case	0	0	0	0	
Termination with severance pay	Case	0	0	0	0	
Termination without severance pay	Case	2	1	1	0	
GRI: 419 Compliance						
419-1	Non-compliance with laws and regulations in the social and economic area	case	0	0	0	0
- Information Security/ Cybersecurity						
-	The IT BCM and incident response procedure testing	Time/Year	2	2	2	2
	The percentage of PTTEP IT infrastructure certified by ISO 27001	%	100	100	100	100

Remarks:

⁽¹⁾ PTTEP Independent director criteria which are aligned with the SAM's criteria (DJSI Definition), which 4 out of 9 and the first 2 from 3 criteria were met, there are 4 criteria as follow:

- SAM criteria 1: The director must not have been employed by the company in an executive capacity within the last five years (PTTEP's Definition 2)

- SAM criteria 3: The director must not be a "Family Member of an individual who is, or during the past three years was employed by the company or by any parent or subsidiary of the company as an executive officer." (PTTEP's Definition 3)
- SAM criteria 4: The director must not be (and must not be affiliated with a company that is) an adviser or consultant to the company or a member of the company's senior management. (PTTEP's Definition 6)
- SAM criteria 8: The director must not have been a partner or employee of the company's outside auditor during the past three years. (PTTEP's Definition 5)

⁽²⁾ Information as of February 2022.

⁽³⁾ In 2020, business integrity wrongdoing case was related to employees' conflict of interest and disclosure of internal confidential information. While Human Resource wrongdoing case was related to non-sexual harassment.

N/A: Not Applicable
